

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for:

Richard B. Keller

Application No.: 09/918,896

Filed: July 30, 2001

For: PROGRAMMABLE SONET

FRAMING

Examiner: Not Yet Assigned

Art Group: 2665

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed Commissioner for Patents, Washington, DC 20231 on:

Date of Deposit: January 15, 2003

Name of Person Mailing: Heather L. Adamson

Signature: 11/15/2003

COMMISSIONER FOR PATENTS WASHINGTON, DC 20231

RECEIVED

JAN 2 3 2003

Technology Center 2600

Sir:

Pursuant to 37 C.F.R. § 1.97(g), the submission of this Information Disclosure Statement (IDS) is not to be construed as a representation that a search has been made, and, pursuant to 37 C.F.R. § 1.97(h), the submission of this IDS is not to be construed as an admission that the information cited in this IDS is material to patentability.

INFORMATION DISCLOSURE STATEMENT

This IDS is being submitted under one or more of the following sections of 37 C.F.R. § 1.97 (as indicated by an "X" to the left of the appropriate paragraph(s)): X 37 C.F.R. § 1.97(b). Filed: (1) Within three months of a National Application other than a CPA: (2) Within three months of entry into National Stage; (3) Before mailing of a first Office Action on the merits; or (4) Before mailing of a first Office Action after filing an RCE. 37 C.F.R. § 1.97(c). Filed before the mailing of a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution. And, ONE of the following: (1) One statement from 37 C.F.R. § 1.97(e); (e)(1) The person signing below certifies that each item of information contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or (e)(2) The person signing below certifies that no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 1.56(c) more than three months prior to filing this IDS. OR (2) The fee set forth in 37 C.F.R. § 1.17(p). A check in the amount of \$180.00 is enclosed. 37 C.F.R. § 1.97(d). Filed on or before payment of the issue fee. And, BOTH of the following: (1) one statement from 37 C.F.R. § 1.97(e); (e)(1) The person signing below certifies that each item of information contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or (e)(2) The person signing below certifies that no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 1.56(c) more than three months prior to filing this IDS. (2) The fee set forth in 37 C.F.R. § 1.17(p). A check in the amount of \$180.00 is enclosed.

-2-

Attorney's Docket No.: 109897-129950 Application No.: 09/918,896 Accompanying this IDS is a Form PTO-1449 and a copy of each reference listed therein, EXCEPT references pursuant to one or more of the following sections of 37 C.F.R. § 1.98 (as indicated by an "X" to the left of the appropriate paragraph(s)):

_____37 C.F.R. § 1.98(c) as being cumulative (marked with an "*" on the Form PTO-1449).

_____37 C.F.R. § 1.98(d) as being previously submitted in parent application _____/___, to which the present application claims priority under 35 U.S.C. § 120.

The Commissioner is hereby authorized to charge shortages or credit overpayments to Deposit Account No. 500393. A Fee Transmittal is enclosed in duplicate for fee processing purposes.

Respectfully submitted,

SCHWABE, WILLIAMSON & WYATT, P.C.

Dated: 1/(5/2)

Robert A. Diehl

Registration No. 40,992

10260 SW Greenburg Road, Suite 820 Portland, Oregon 97223

Telephone: 503-595-2800

Application No.: 09/918,896

Complete if Known **Application Number** 09/918,896 **INFORMATION DISCLOSURE** July 30, 2001 Filing Date **ESTATEMENT BY APPLICANT** Richard B. Keller First Named Inventor 2665 Group Art Unit **Examiner Name** Not Yet Assigned heet 1 of 1 109897-129950 Attorney Docket Number

U.S. PATENT DOCUMENTS

U.S. PATENT DOCUMENTS								
Exam. Initials	Cite No.	Document Number	Date	Name	Class	Sub- class	Filing Date (if appropriate)	
	1	US 6,118,795 A	09/12/00	Fukunaga et al.				
	2	US 6,122,281 A	09/19/00	Donovan et al.				
	3	US 2001/0043603 A1	11/22/01	Yu				
	4	US 6,389,036 B1	05/14/02	Stewart et al.				
	5	US 6,414,966 B1	07/02/02	Kulkami et al.				
-								
						!		
					DE	CEIV	ED	
						1		
					10	N 2 3	003	
							L	
					Technol	chnology Center 2600		
					16CI III C	VIIIO 3)		
	ļ							
		1	1					

FOREIGN PATENT DOCUMENTS

Exam. Initials	Cite No.	Document Number	Date	Country	Class	Sub- Class	Trans Yes	lation No
							·	
								•

Examiner	Date	
Signature	Considered	

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

IPG No. 51040.P020